



PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

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| | | |
|---|------------------------|---------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/666,509 |
| | Filing Date | 09/19/2003 |
| | First Named Inventor | Sondur Lakshmipathi |
| | Art Unit | Not Yet Assigned |
| | Examiner Name | Not Yet Assigned |
| Total Number of Pages in This Submission | Attorney Docket Number | 111027-134390 |

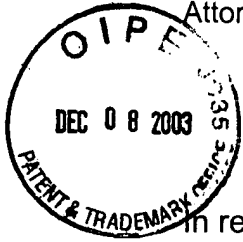
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| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|-----------------------------------|
| Firm or Individual name | SCHWABE, WILLIAMSON & WYATT, P.C. |
| Signature | |
| Date | 12/04/2003 |

| CERTIFICATE OF TRANSMISSION/MAILING | |
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| Typed or printed name | Heather L. Adamson |
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| Date | 12/04/2003 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Sondur Lakshmpathi

Application No.: 10/666,509

Filed: 09/19/2003

For: WIRELESS SIGNAL
PROCESSING METHODS AND
APPARATUSES INCLUDING
DIRECTIONS OF ARRIVAL
ESTIMATION

Examiner: Not Yet Assigned

Art Group: Not Yet Assigned

Confirmation No.: Not Yet Assigned

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: 12/04/2003

Typed or Printed: Heather L. Adamson

Signature: Heather L. Adamson Date: 12/04/2003

Commissioner for Patents
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Alexandria, VA 22313-1450

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Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37

C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)):

 X 37 C.F.R. § 1.97(b). Filed:

- (1) Within three months of a National Application other than a CPA;
- (2) Within three months of entry into National Stage;
- (3) Before mailing of a first Office Action on the merits; or
- (4) Before mailing of a first Office Action after filing an RCE.

 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution.

And, ONE of the following:

(1) One statement from 37 C.F.R. § 1.97(e);

 (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

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OR

(2) The fee set forth in 37 C.F.R. § 1.17(p).

 A check in the amount of \$180.00 is enclosed.

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Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT references pursuant to one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)):

_____ 37 C.F.R. § 1.98(c) as being cumulative (marked with an "*" on the Form PTO-1449).

_____ 37 C.F.R. § 1.98(d) as being previously submitted in parent application
_____/_____, to which the present application claims priority under 35
U.S.C. § 120.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

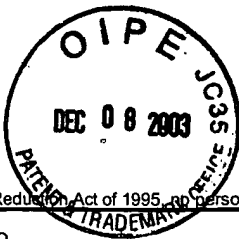
Respectfully submitted,
SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: 10/4/03



Aloysius T.C. AuYeung
Registration No. 35,432

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PTO/SB/08B (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|---------------------|
| Application Number | 10/666,509 |
| Filing Dat | 09/19/2003 |
| First Named Inventor | Sondur Lakshmipathi |
| Art Unit | Not Yet Assigned |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 111027-134390 |

Sheet

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of

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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| | 1 | ERMOLAEV, et al., "Fast Algorithm for Minimum-Norm Direction-of-Arrival Estimation," IEEE Transactions on Signal Processing, Vol. 42, No. 9, Sept. 1994, pp. 2389-2394 | |
| | 2 | ROY, et al., "ESPRIT - A Subspace Rotation Approach to Estimation of Parameters of Cisoids in Noise," IEEE Transactions on Acoustics, Speech, and Signal Processing, Vol. ASSP-34, No. 5, Oct. 1986, pp. 1340-1342 | |
| | 3 | SCHMIDT, "Multiple Emitter Location and Signal Parameter Estimation," IEEE Transactions on Antennas and Propagation, Vol. AP-34, No. 3, Mar. 1986, pp. 276-280 | |
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Examiner
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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